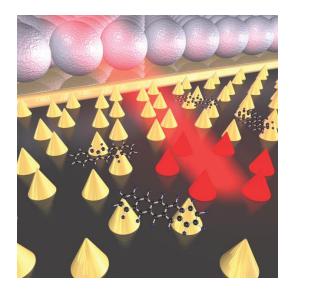
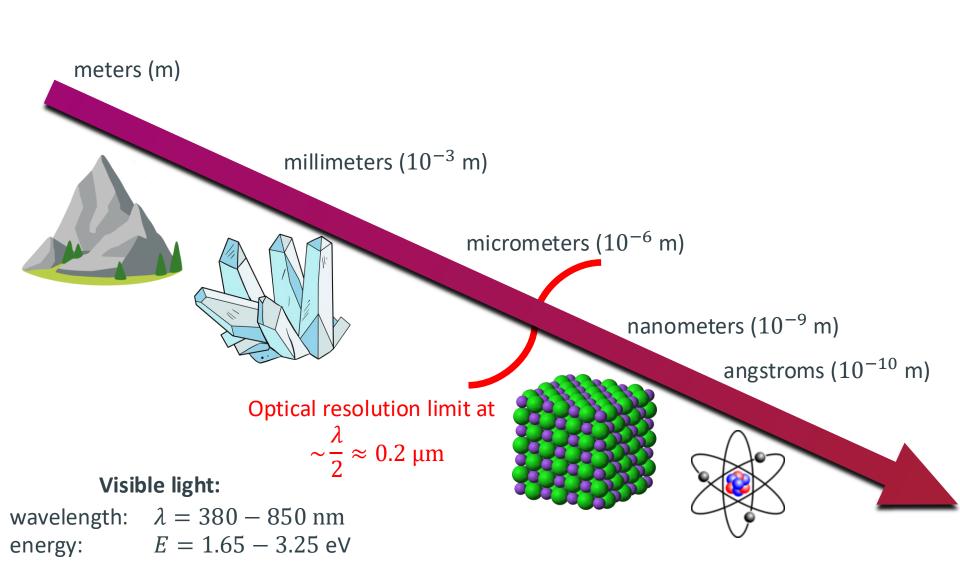
# Basic module Physics of Nanostructures



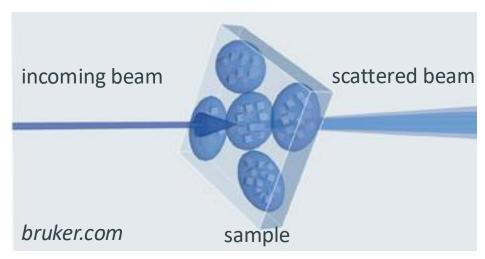


J. Meyer, D. Kölle, I. Zaluzhnyy, Exercices: R. Löffler

#### How to see nanoobjects



#### Concept of scattering techniques



#### Advantages:

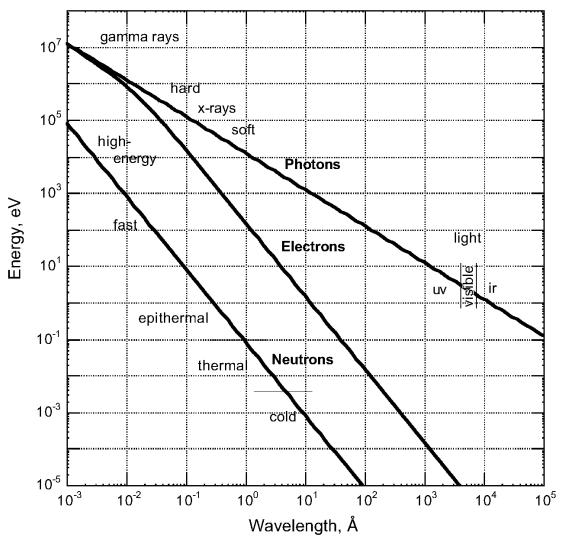
- non-invasive
- statistically significant
- detailed structural information on nanoscale
- applicable in situ and in real time
- direct analysis possible (predominantly for single-scattering scenarios)

#### Examples of structural "inhomogeneities" that can be studied by scattering:

- atomic lattices
- structural domains, nanoparticles, agglomerates, superlattices, etc.
- interfaces and surfaces

#### Scattering of X-rays, Electrons and Neutrons

Energy-Wavelength Relationships for Photons, Electrons, and Neutrons



Photons (X-rays):

$$E = \frac{2\pi\hbar c}{\lambda} \qquad \qquad E[eV] = \frac{12398}{\lambda[\text{Å}]}$$

**Neutrons:** 

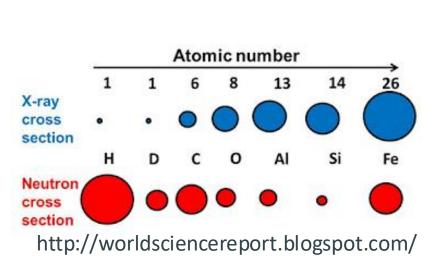
$$E = \frac{2\pi^2 \hbar^2}{m_n \lambda^2}$$
  $E[eV] = \frac{81.8204}{\lambda^2 [\mathring{A}^2]}$ 

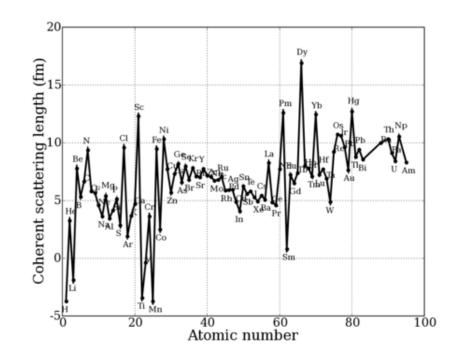
Electrons (non-relativistic):

$$E = \frac{2\pi^2 \hbar^2}{m_e \lambda^2} \qquad E[eV] = \frac{150.442}{\lambda^2 [\mathring{A}^2]}$$

Carpenter & Loong, Elements of slow-neutron scattering (2015)

#### Scattering of X-rays and Neutrons



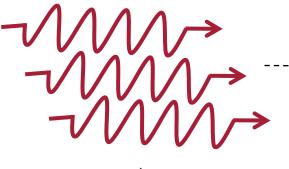


https://www.ncnr.nist.gov/resources/n-lengths/

#### Scattering by a single atom

incident photons

atom



$$E_0e^{i\vec{k}_{in}\vec{r}-i\omega t}$$

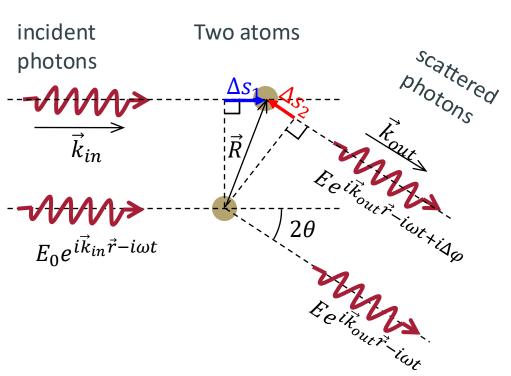
$$f = \frac{E}{E_0}$$

$$\frac{\partial \sigma}{\partial \Omega} = |f(\Omega)|^2$$

scattering amplitude

differential cross section

#### Scattering by two atoms



Phase difference between the waves scattered by two electrons

waves scattered by two electrons 
$$\Delta \varphi = k \Delta s = \frac{2\pi}{\lambda} (\Delta s_1 + \Delta s_2)$$

$$= k_{in} \Delta s_1 + k_{out} \Delta s_2$$

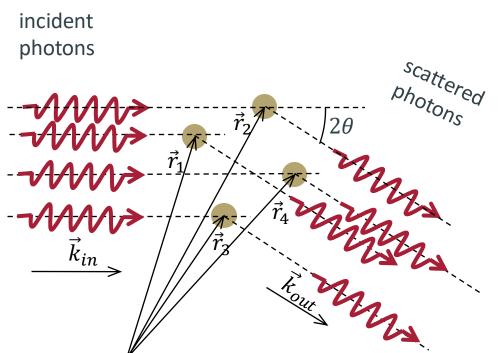
$$= \vec{k}_{in} \vec{R} - \vec{k}_{out} \vec{R} = -(\vec{k}_{out} - \vec{k}_{in}) \vec{R}$$

$$= -\vec{q} \vec{R}$$

Resulting scattered field at point  $\vec{r}$ 

$$\begin{split} E &\propto E_0 \; e^{i \vec{k}_{out} \vec{r} - i \omega t} + E_0 \; e^{i \vec{k}_{out} \vec{r} - i \omega t - i \vec{q} \vec{R}} \\ &\propto E_0 \left( 1 + e^{-i \vec{q} \vec{R}} \right) \end{split}$$

#### Scattering by many atoms



 $\vec{a} = \vec{k}_{out} - \vec{k}_{in}$ 

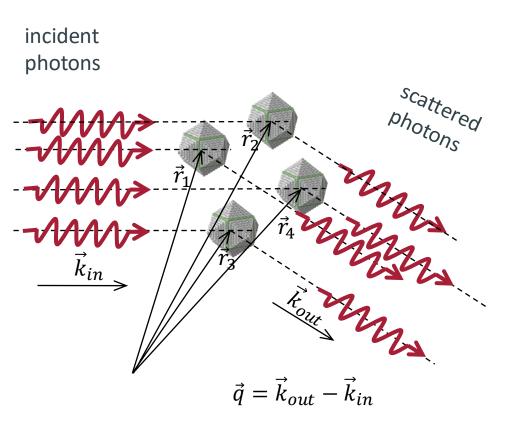
Resulting scattered field:

$$E \propto \sum_{n=1}^{N} E_0 f_n(q) e^{i\vec{k}_{out}\vec{r} - i\omega t - i\vec{q}\vec{r}_n} \propto E_0 \sum_{n=1}^{N} f_n(q) e^{-i\vec{q}\vec{r}_n}$$

Interference between photons scattered from different atoms

#### Scattering by nanoparticles

Resulting scattered field:



Interference between photons scattered from different atoms

Many nanoparticles

$$E \propto E_0 \sum_{n=1}^{N} f_n(q) e^{-i\vec{q}\vec{r}_n}$$

scattering form factor of a nanoparticle

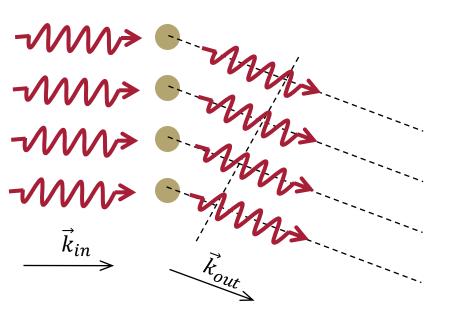
$$f(q) = \int \rho_{el}(\vec{r}) e^{-i\vec{q}\vec{r}} d\vec{r}$$

scattering form factor of a nanoparticle

#### Scattering by a 1D periodic array of atoms

Scattering in phase:

Scattering out of phase:



$$E \propto f(q) \sum_{n=1}^{N} e^{-i\vec{q}\vec{r}_n} = f(q) \sum_{n=1}^{N} 1 \propto N \cdot f(q)$$

If for all atoms, 
$$\vec{q}\vec{r}_n=2\pi\cdot m$$

sharp and intense diffraction peak (Bragg peak)

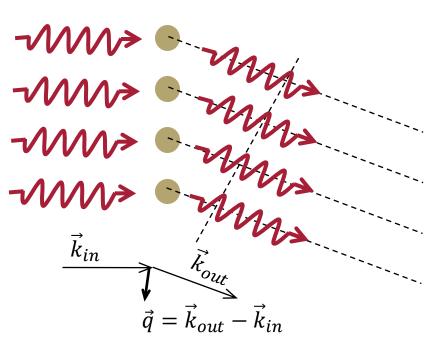
$$E \propto f(q) \sum_{n=1}^{N} e^{-i\vec{q}\vec{r}_n} = f(q) \sum_{n=1}^{N} (\pm 1) \approx 0$$

If for all atoms,  $\vec{q}\vec{r}_n = \pi \cdot m$ 

very weak scattering signal between the diffraction peaks

#### Scattering by a 1D periodic array of atoms

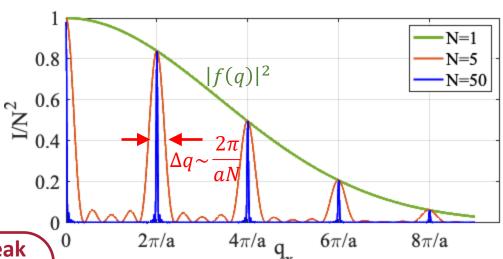
Scattering in phase:



For a periodic array of atoms:  $x_n = n \cdot a$ 

$$E \propto f(q) \sum_{n=1}^{N} e^{-iq_{x}x_{n}} = f(q) \sum_{n=1}^{N} e^{-iq_{x}a \cdot n}$$
$$= f(q)e^{-iq_{x}a} \frac{1 - e^{-iq_{x}a \cdot N}}{1 - e^{-iq_{x}a}}$$

$$I \propto |E|^2 \propto |f(q)|^2 \cdot \left| \frac{\sin\left(\frac{q_x a}{2} \cdot N\right)}{\sin\left(\frac{q_x a}{2}\right)} \right|^2$$

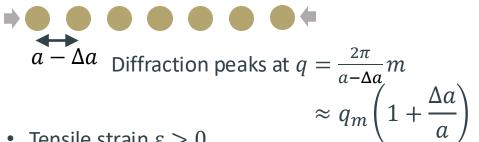


Size of a crystal → Width of the Bragg peak
Shape of a crystal → Shape of the Bragg peak

#### Strain of the atomic lattice



• Compressive strain  $\varepsilon < 0$ 

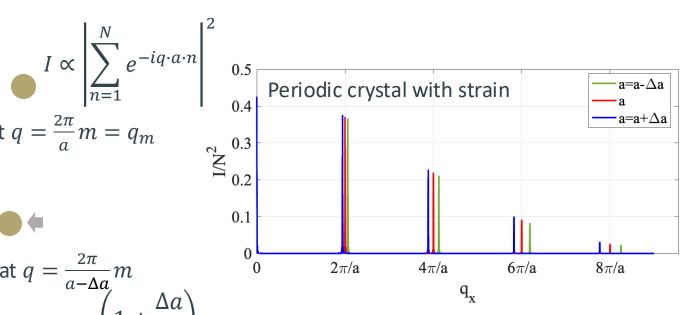


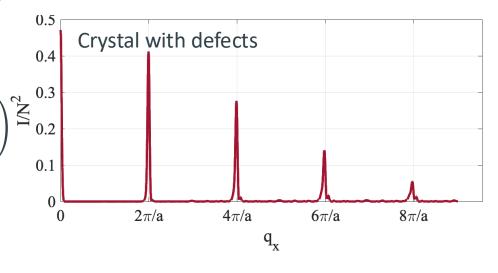
$$\approx q_m \left(1 + \frac{\Delta a}{a}\right)$$

• Tensile strain  $\varepsilon > 0$ 

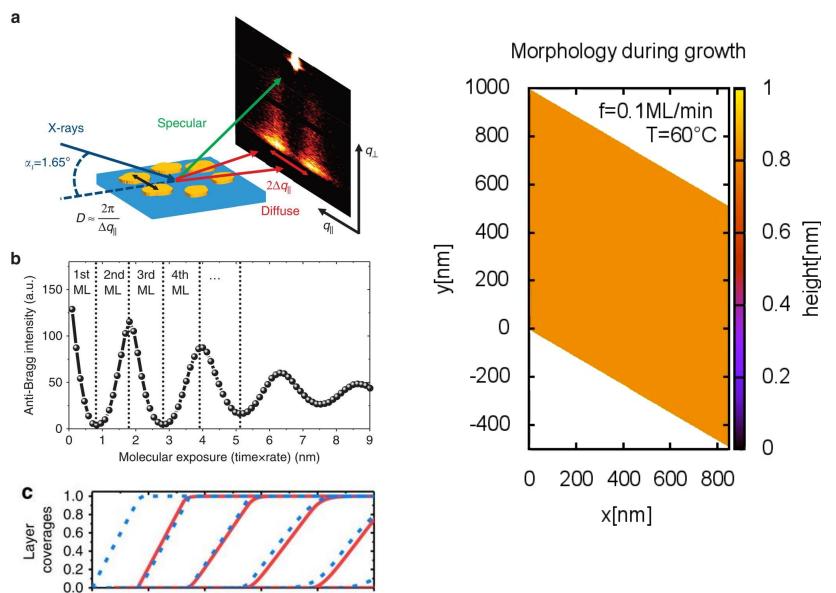


Real crystal with defects Diffraction peaks at  $q = \frac{2\pi}{\langle a \rangle} m$ Width of the peaks  $\Delta q = q_m \frac{\Delta a}{\langle q \rangle}$ 





# Deposition of C<sub>60</sub> on mica



0

Molecular exposure (timexrate) (nm)

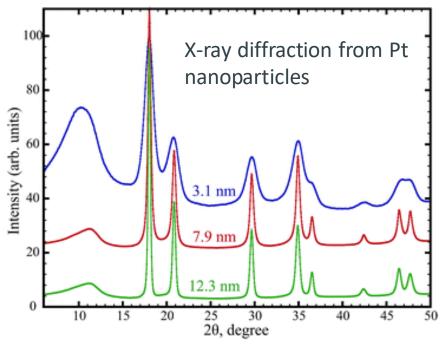
S. Bommel, et al. Nat. Commun 5, 5388 (2014)

#### Scherrer's broadening

The smaller is the sample, the broader are the Bragg reflections:

$$B(2\theta) = \frac{K\lambda}{L\cos\theta},$$

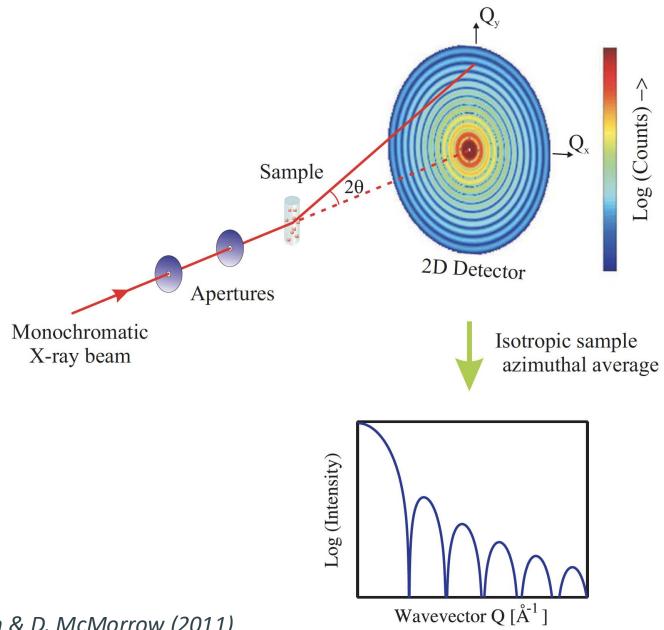
where  $B(2\theta)$  is the width (FWHM) of the diffraction peak in radians and  $K \sim 1$  is the shape factor.



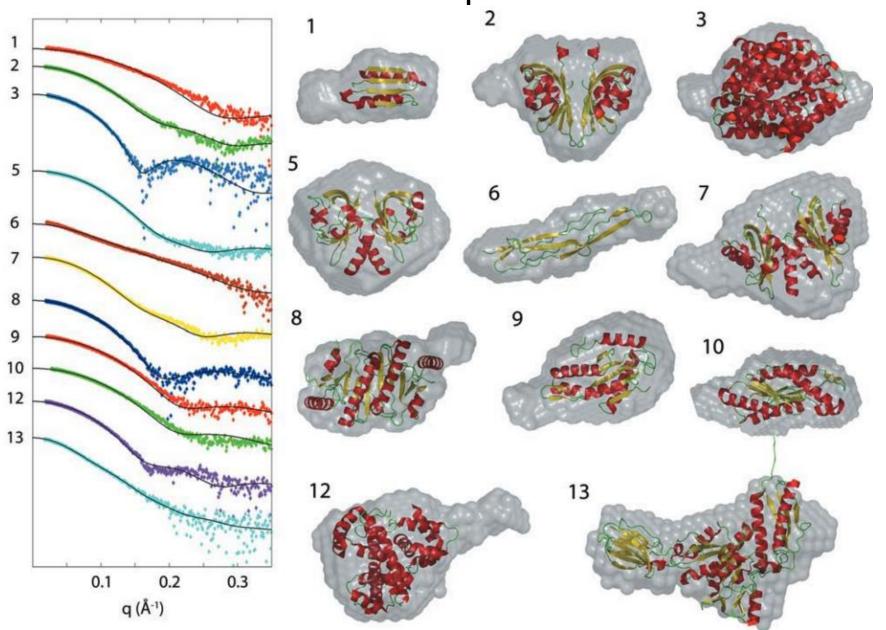
Leontyev et al., RSC Adv., 2014 35959-35965

$$a_{Pt} = 3.9231 \,\text{Å} \, \text{(bulk crystal)}$$

## Small Angle X-ray Scattering (SAXS)

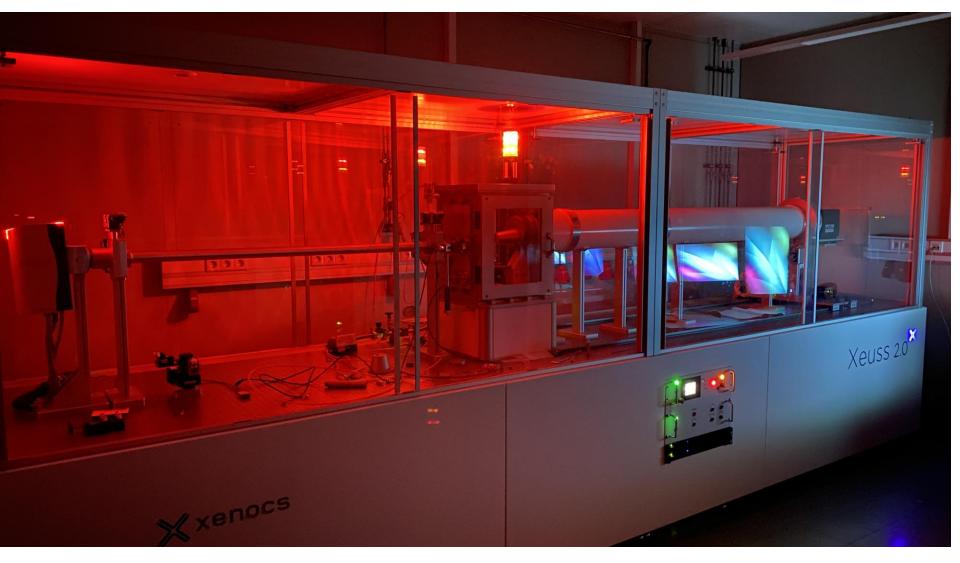


## SAXS on proteins

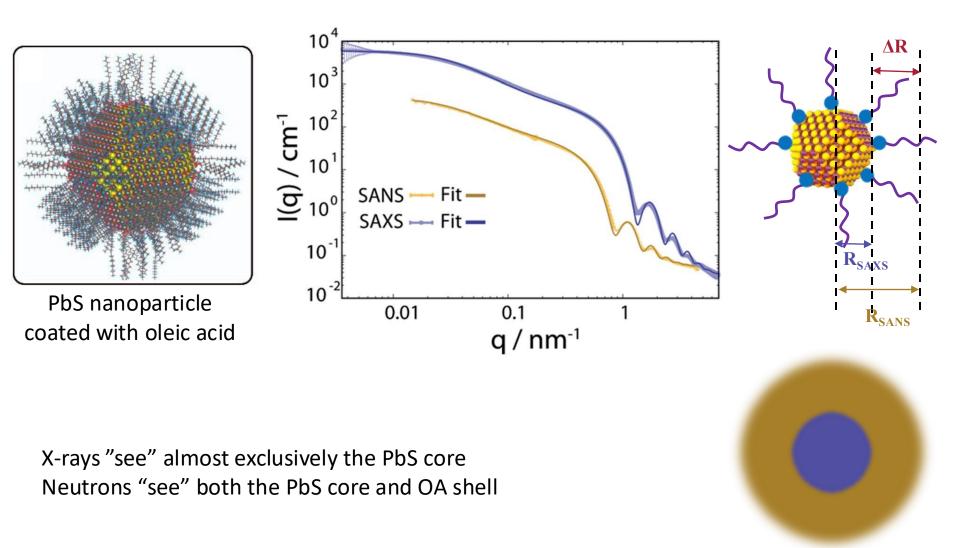


T.D. Grant et al. Biopolymers **95** 517 (2011)

# Small Angle X-ray Scattering (SAXS)



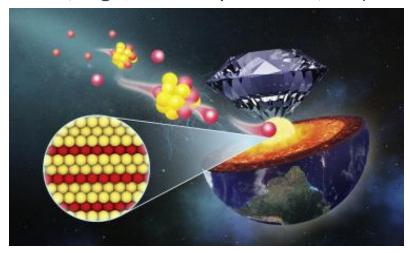
### Neutrons / X-rays contrast



T. Seydel et al., Chem. Sci. **11**, 8875 (2020)

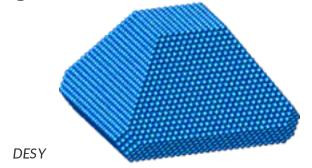
#### Possibilities for in situ experiments

1. X-ray diffraction in extreme conditions (high pressure, high/low temperatures, etc)

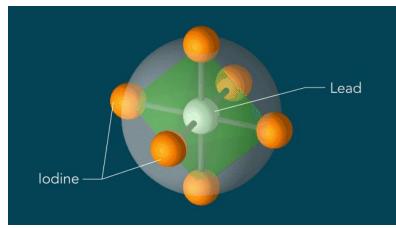


Liu et al., The Innovation 4 100354 (2023)

3. X-ray diffraction *in operando* (catalysis, voltage-induced changes, etc)

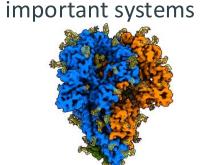


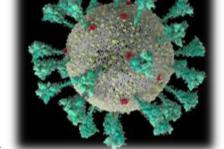
2. X-ray diffraction with time resolution (tracking chemical reactions)



SLAC National Accelerator Laboratory

4. X-ray diffraction of biologically

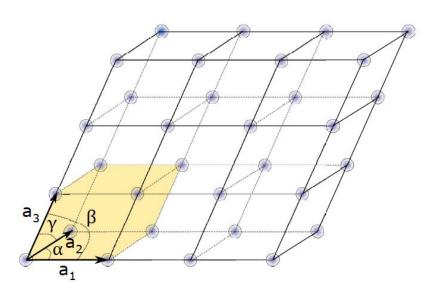




SLAC National Accelerator Laboratory

#### Scattering by a 3D periodic array of atoms

In a crystal lattice,  $\vec{r}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$ 



We observe a diffraction peak, if for all atoms,  $\vec{q}\vec{r}_n = 2\pi \cdot m$ 

$$E \propto f(q) \sum_{n=1}^{N} e^{-i\vec{q}\vec{r}_n} = f(q) \sum_{n=1}^{N} 1 \propto N \cdot f(q)$$

A reciprocal lattice:  $\vec{G}_{hkl} = h\vec{a}_1^* + k\vec{a}_2^* + l\vec{a}_3^*$ with the reciprocal unit vectors

$$\vec{a}_{1}^{*} = \frac{2\pi[\vec{a}_{2} \times \vec{a}_{3}]}{\vec{a}_{1} \cdot [\vec{a}_{2} \times \vec{a}_{3}]}$$
$$\vec{a}_{2}^{*} = \frac{2\pi[\vec{a}_{3} \times \vec{a}_{1}]}{\vec{a}_{3} \cdot [\vec{a}_{3} \times \vec{a}_{1}]}$$

$$\vec{a}_3^* = \frac{2\pi[\vec{a}_1 \times \vec{a}_2]}{\vec{a}_3 \cdot [\vec{a}_1 \times \vec{a}_2]}$$

 $\vec{a}_1^* \cdot \vec{a}_1 = 2\pi$  $\vec{a}_2 \cdot u_2 - \underline{\phantom{a}}$   $\vec{a}_3^* \cdot \vec{a}_3 = 2\pi$ 

Note that

 $\vec{a}_3^* = \frac{2\pi[\vec{a}_1 \times \vec{a}_2]}{\vec{a}_2 \cdot [\vec{a}_4 \times \vec{a}_2]}$  All mixed products are zero  $\vec{a}_i^* \cdot \vec{a}_i = 0$ 

For any atom of the real lattice

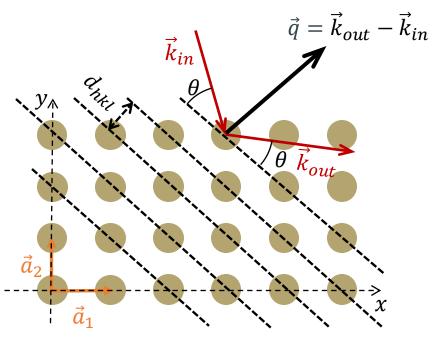
$$\vec{G}_{hkl}\vec{r}_n = 2\pi \cdot (hn_1 + kn_2 + ln_3) = 2\pi \cdot m$$

The condition to observe a Bragg peak

$$\vec{q} = \vec{k}_{out} - \vec{k}_{in} = \vec{G}_{hkl}$$

#### Real space and reciprocal space

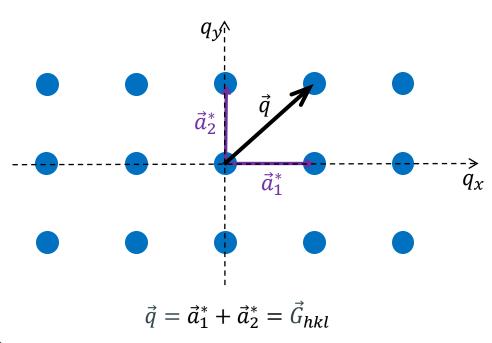
Scattering in real space



The scattering can be seen as specular reflection from a set of parallel atomic planes. The interference between the photons scattered from different planes will be constructive if the Bragg condition is satisfied:

$$2d_{hkl}\sin\theta = \lambda$$

Scattering in reciprocal space

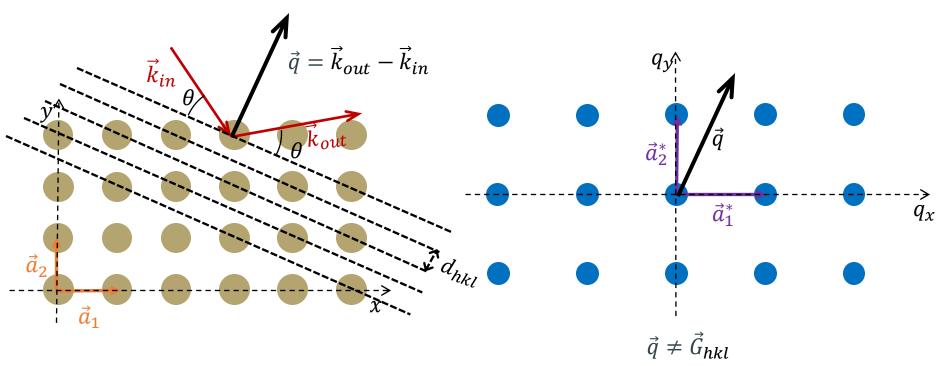


Laue condition is satisfied, so we will see a diffraction peak in this experiment.

#### Real space and reciprocal space

Scattering in real space

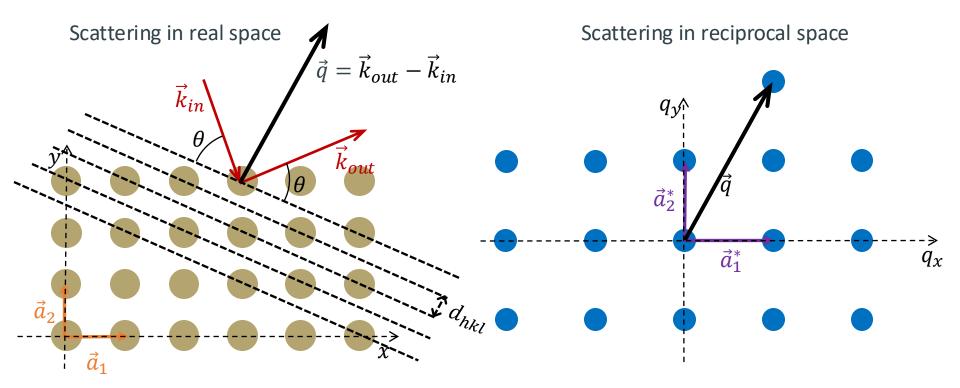
Scattering in reciprocal space



Bragg condition is not satisfied:  $2d_{hkl}\sin\theta \neq \lambda$ 

Laue condition is not satisfied, so we will not see a diffraction peak in this experiment.

#### Real space and reciprocal space



By adjusting the incidence angle, Bragg condition is satisfied again, so we can see the diffraction peak

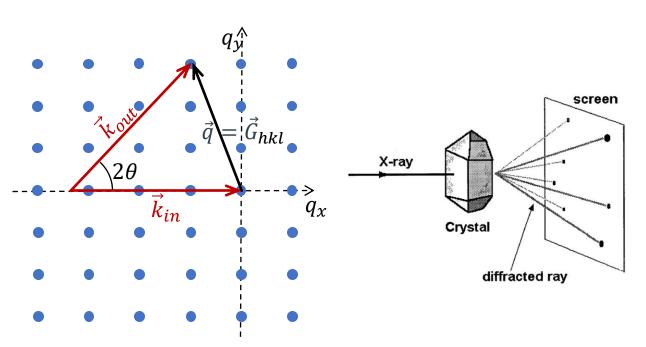
$$2d_{hkl}\sin\theta = \lambda$$

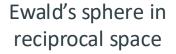
$$\vec{q} = \vec{a}_1^* + 2\vec{a}_2^* = \vec{G}_{hkl}$$

Laue condition is satisfied

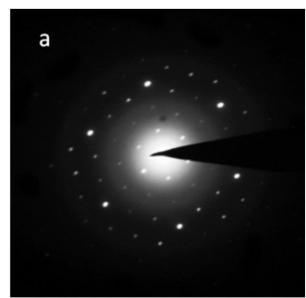
#### The Ewald sphere

For elastic scattering,  $|\vec{k}_{in}| = |\vec{k}_{out}| = \frac{2\pi}{\lambda}$ . It means, that all possible scattering vectors  $\vec{q} = \vec{k}_{out} - \vec{k}_{in}$  form a sphere of radius  $\frac{2\pi}{\lambda}$  in reciprocal space. A diffraction pattern, measured with a 2D detector, is a cross section of reciprocal space with the Ewald sphere.



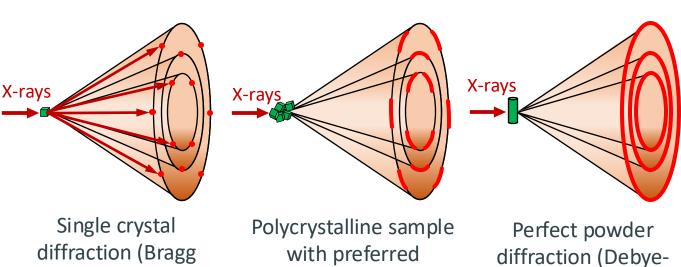


Single crystal diffraction experiment



Diffraction pattern

#### Powder diffraction

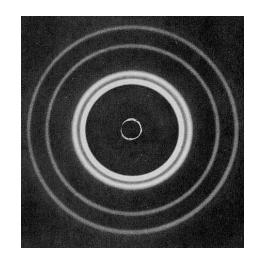


orientation of crystal

grains

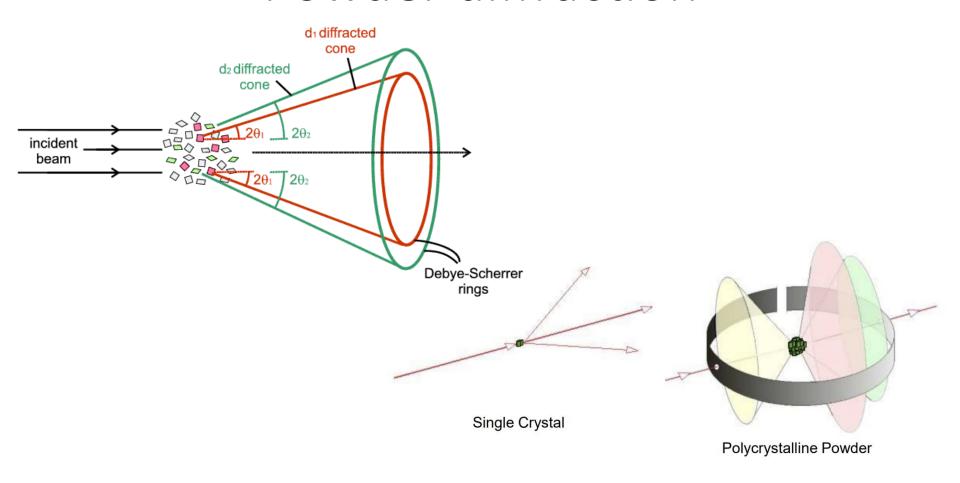
reflections)

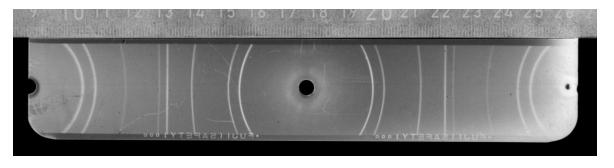
diffraction (Debye-Scherrer rings)



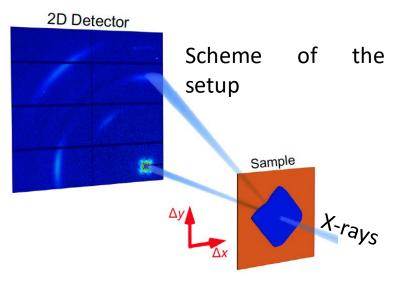
Debye-Scherrer diffraction rings from aluminum foil

#### Powder diffraction

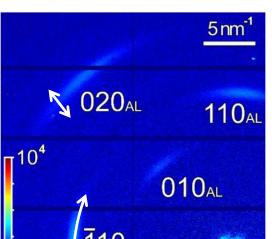




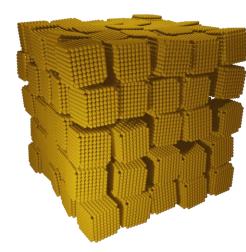
# Mosaic spread (azimuthal broadening)



Diffraction pattern

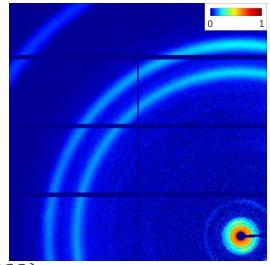


Structure of mesocrystal



**Radial width** of the peak (Scherrer's broadening) – size of a nanoparticle

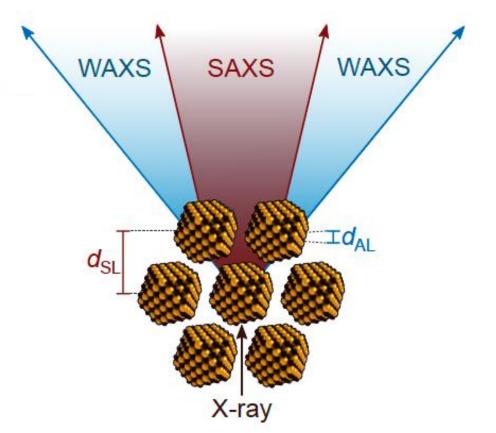
**Azimuthal width** of the peak – orientational distributions of nanoparticles



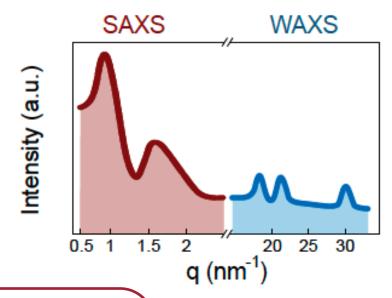


D. Lapkin et al., Nat. Commun. 13 892 (2022)

#### Diffraction from quantum dot solids



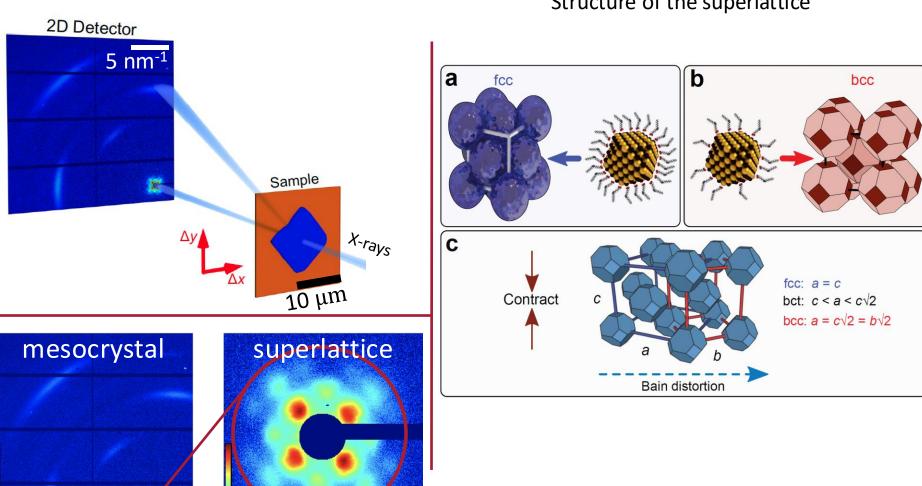
- Small Angle X-ray Scattering (SAXS) is sensitive to the distance between the nanoparticles (superlattice)
- Wide Angle X-ray Scattering (WAXS) is sensitive to the distance between atoms (atomic lattice of nanoparticles)



with X-ray diffraction one can simultaneously "see" the structure on two length scales: atomic lattice and superlattice

#### Structure of superlattice

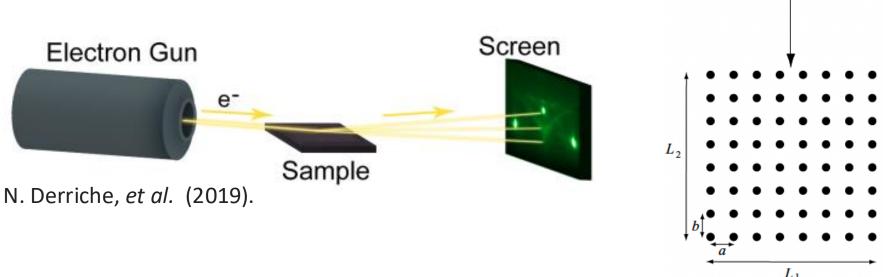
Structure of the superlattice



J. Novák et al., ACS Appl. Mater. Interfaces 8, 22526 (2016)

A. Maier, PhD thesis (2021)

#### Reflection High-Energy Electron Diffraction (RHEED)



Scattering from atoms on a surface (scattering from a 2D crystal)

$$A \propto \sum_{n=1}^{N} e^{-i\vec{q}\vec{r}_n} = \sum_{n=1}^{N} e^{-i(q_x x_n + q_y y_n + q_z z_n)} = \sum_{n=1}^{N} e^{-i(q_x x_n + q_y y_n)}$$

$$z_n = 0$$

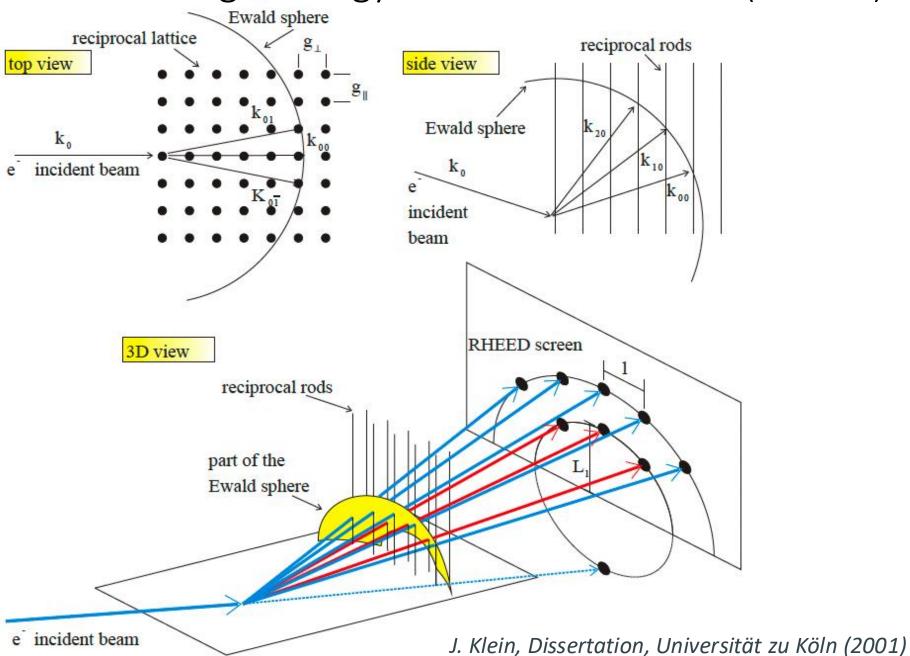
Scattering will occur (constructive interference) for certain values of  $q_x$  and  $q_y$  and all values of  $q_z$  (reciprocal rods). For example, for a simple rectangular lattice:

$$q_{x} = \frac{2\pi}{a}h,$$

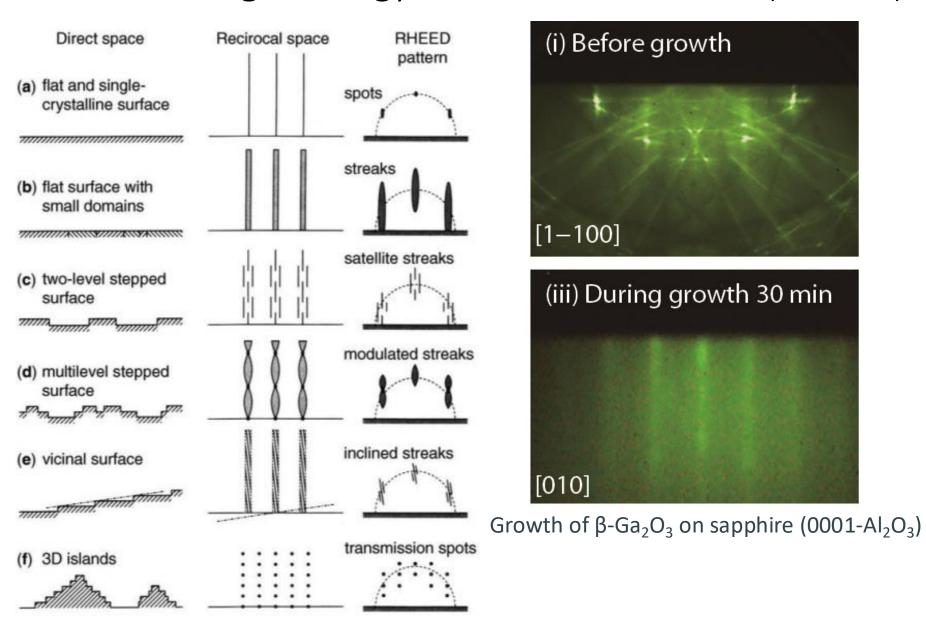
$$q_{y} = \frac{2\pi}{b}k,$$

$$(h, k - integers)$$

#### Reflection High-Energy Electron Diffraction (RHEED)



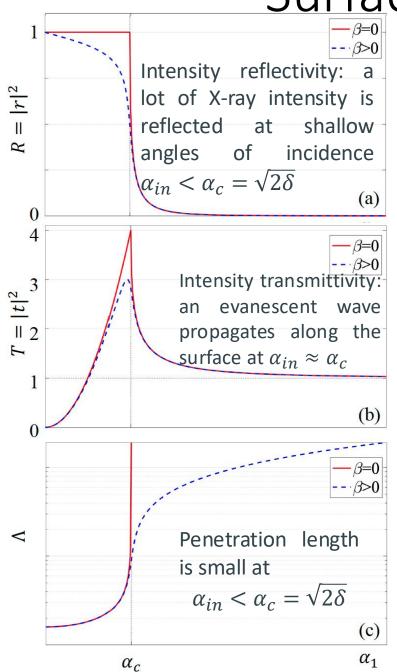
#### Reflection High-Energy Electron Diffraction (RHEED)



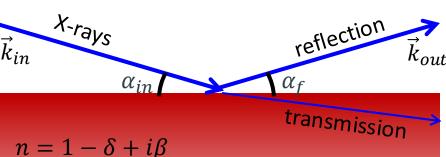
A. Ichimiya, P. Cohen (2004)

J. Wei et al., J. Semicond. 40 012802 (2019)

Surface sensitivity

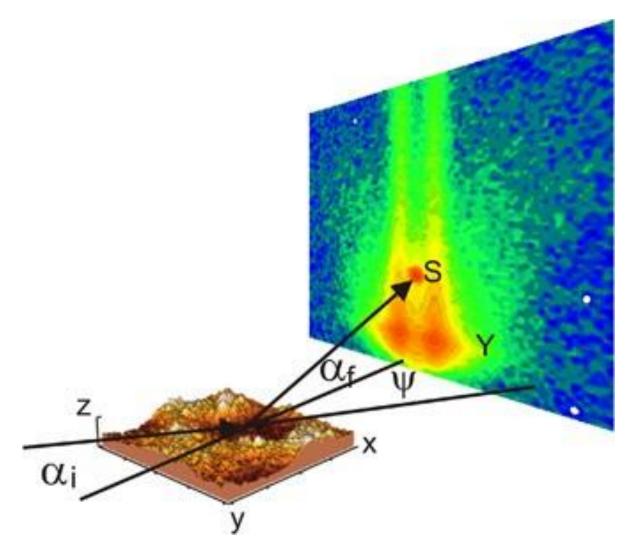


$$n = 1 (vacuum)$$



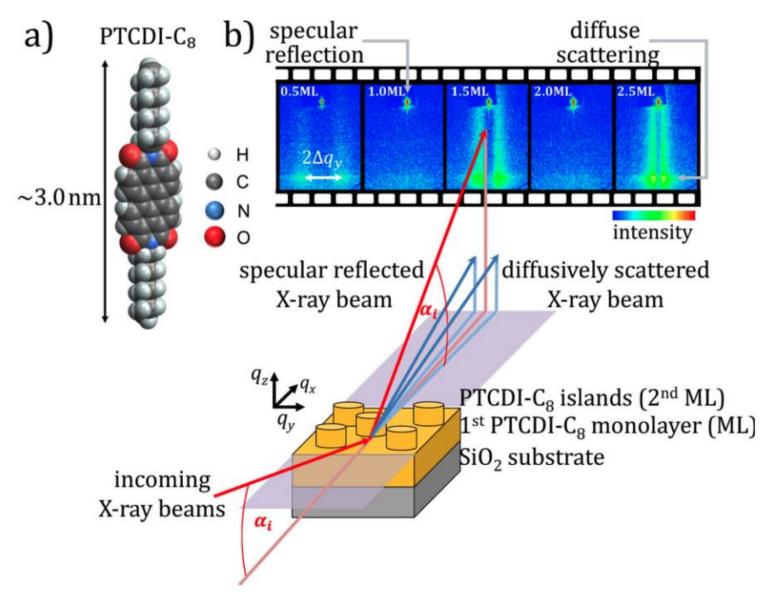
 $n = 1 - \delta + i_{l}$  (material)

## Scattering from rough surfaces



P. Müller-Buschbaum, Polymer Journal 34 892 (2013)

## Scattering from rough surfaces

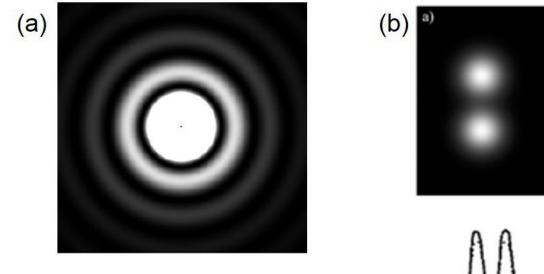


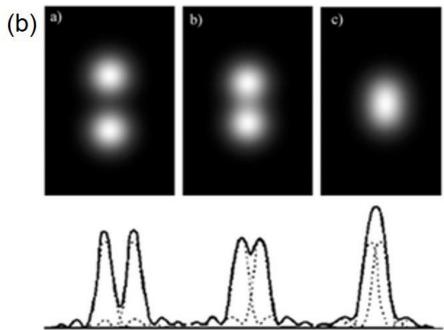
A. Zykov et al, J. Chem. Phys. 146, 052803 (2017)

## Light microscopy

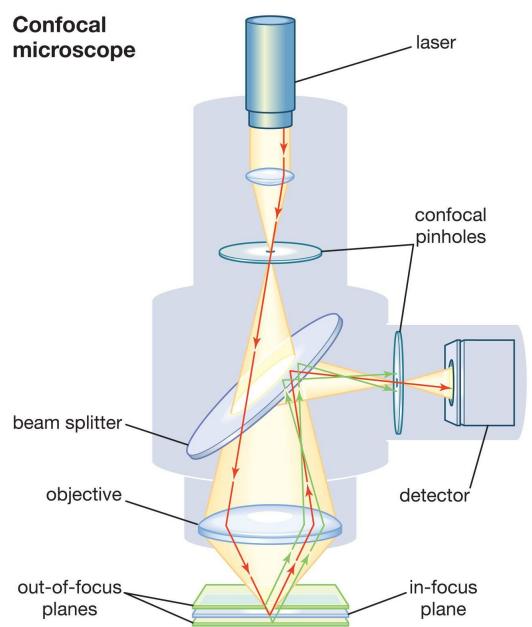
Rayleigh criterion equation (resolution):  $\Delta = k_1 \cdot \frac{\lambda}{NA} \sim \frac{\lambda}{n \cdot \sin \theta}$ 

Due to diffraction effects (Airy disc), the minimal distance between to objects is  $\lambda/2$ , so that the objects can be still resolved.

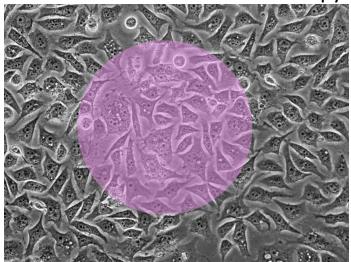




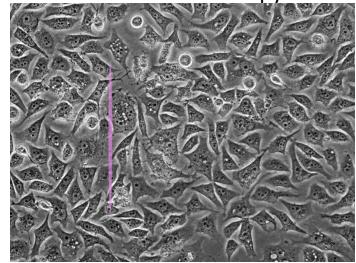
# Confocal microscopy



Standard fluorescence microscopy

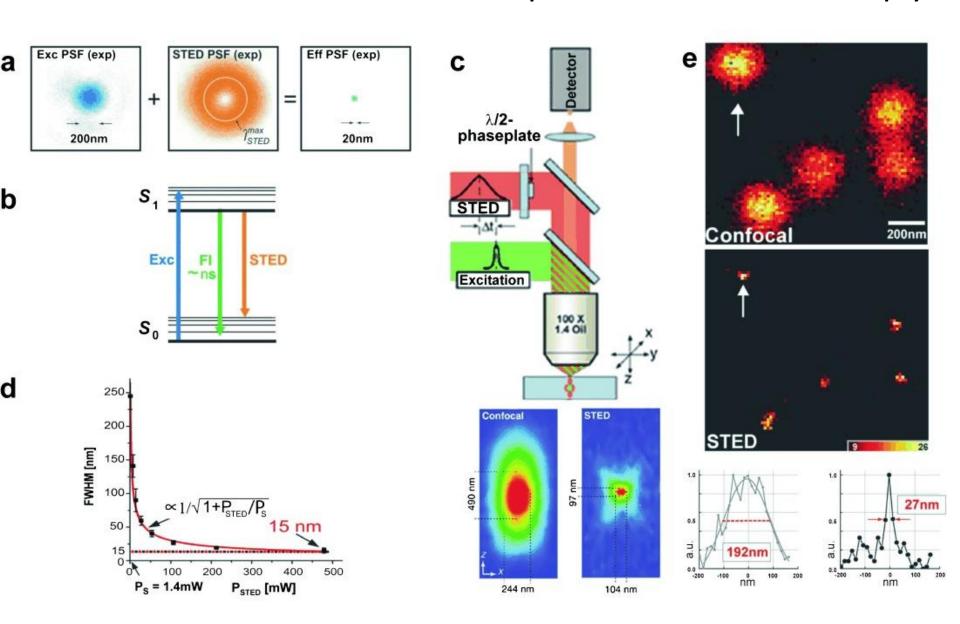


Confocal microscopy



www.cherrybiotech.com

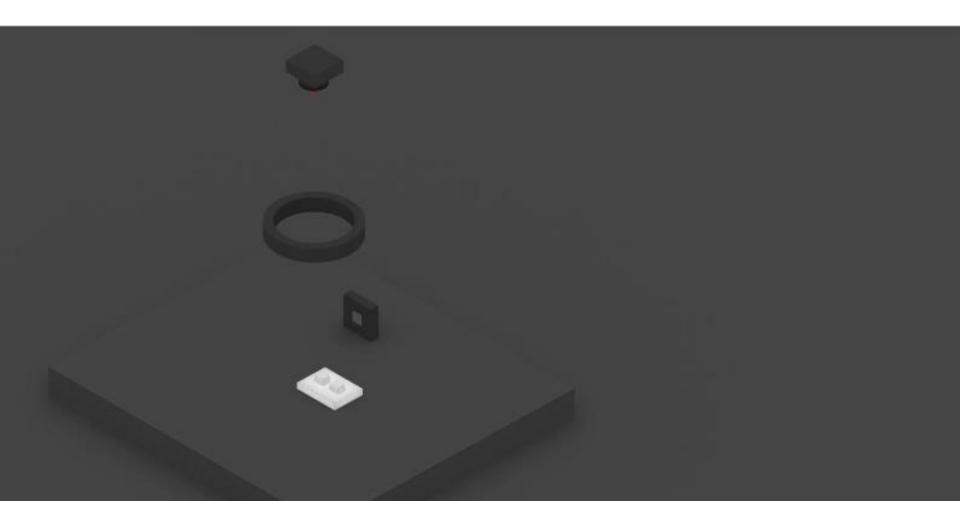
### Stimulated emission depletion microscopy



Möckl et al., Angew. Chem. Int. Ed. 53, 13972 (2014)

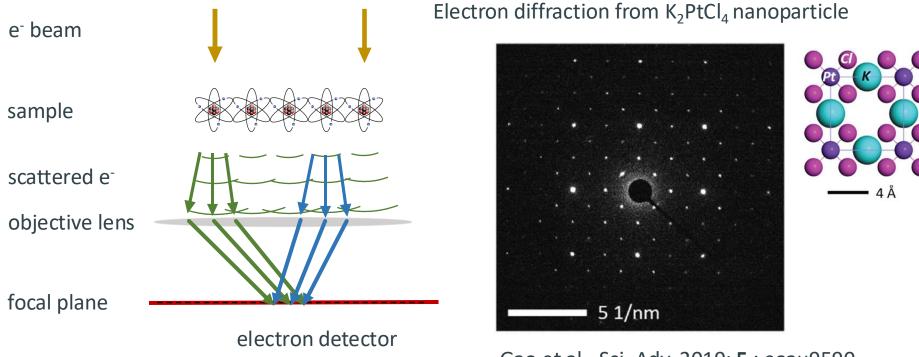
## Scanning electron microscopy (SEM)

Electrons (E=5-15 keV) are focused to a spot of 1-2 nm. The beam scans the sample's surface and the scattered electrons are recorded by a detector.



#### Transmission electron microscopy (TEM)

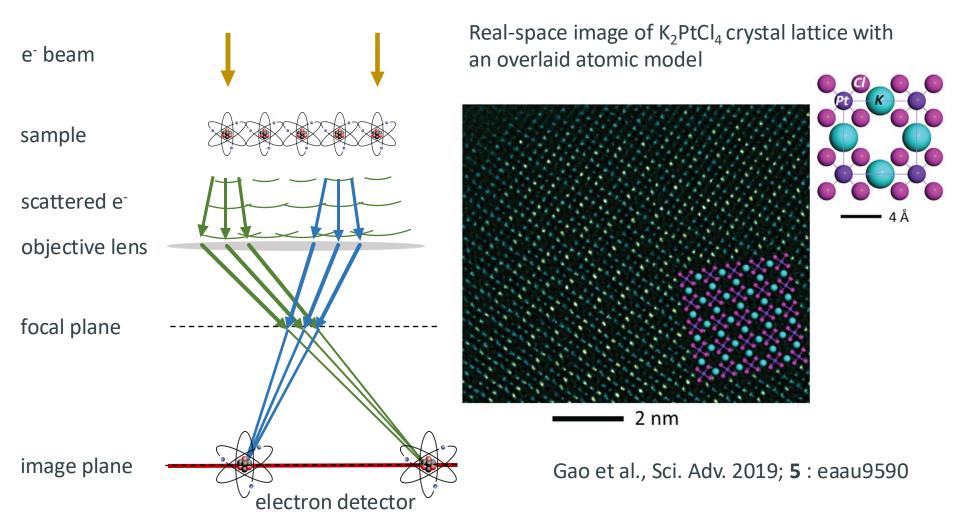
#### Diffraction mode



Gao et al., Sci. Adv. 2019; **5** : eaau9590

#### Transmission electron microscopy (TEM)

#### Imaging mode

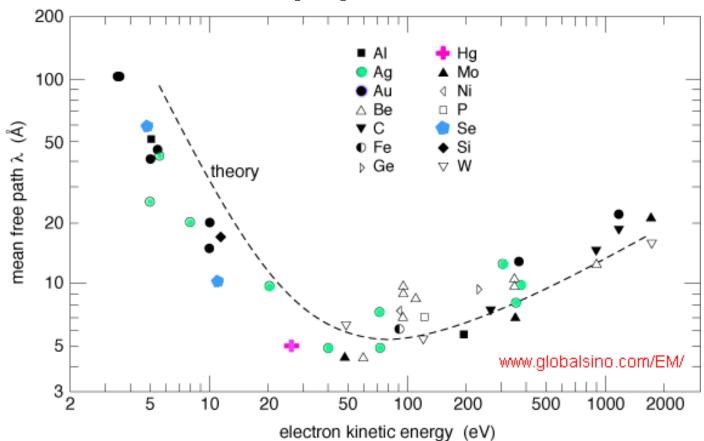


### Electron inelastic mean free path (IMFP)

$$I(d) = I_0 \exp\left(-\frac{d}{\lambda(E)}\right)$$

Decay of the electron intensity in the media due to inelastic scattering

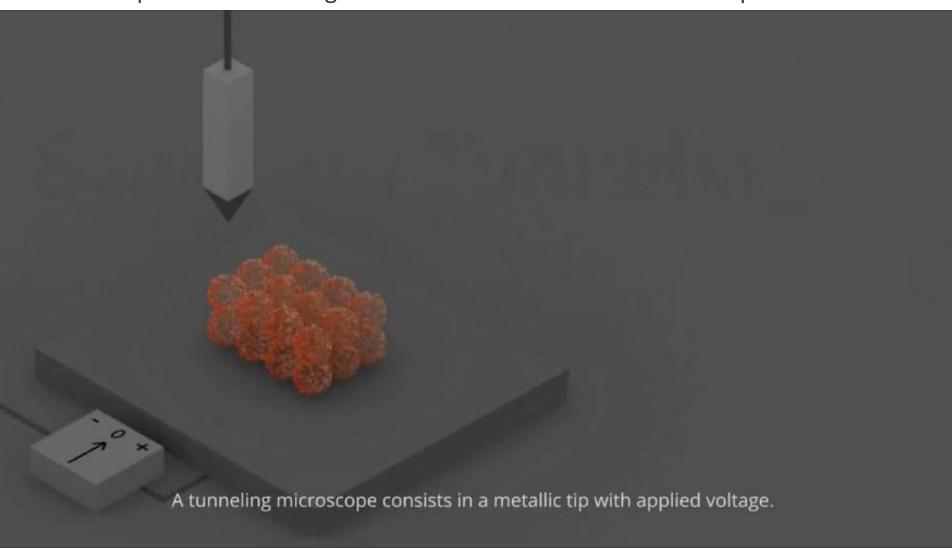
$$\lambda[monolayers] = \frac{538}{E^2[eV^2]} + 0.41\sqrt{a[nm]E[eV]}$$



M. Seah and W. Dench, Surf. Interface Anal., 1 2-11 (1979)

# Scanning tunneling microscopy (STM)

Measures quantum tunneling current between the atom and the tip.



#### Atomic Force Microscopy (AFM)

# Atomic Force Microscope

#### Incomplete list of microscopy techniques

Large field of view

Visible light microscopy

Transmission electron microscopy (TEM) in imaging mode

Small field of view

Confocal microscopy

Stimulated emission depletion (STED) microscopy

Scanning electron microscopy (SEM)

Scanning tunneling microscopy (STM)

Atomic force microscopy (AFM)

Magnetic force microscopy (MFM)

Electrostatic force microscopy (EFM)

Scanning near-field optical microscopy (SNOM)

ľ

Scanning probe microscopy (SPM)

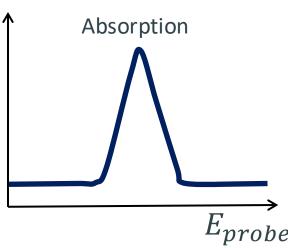
Diffraction + microscopy (imaging in reciprocal space + scanning)

Scanning Transmission Electron Microscopy (STEM)
Scanning Transmission X-ray Microscopy (STXM)

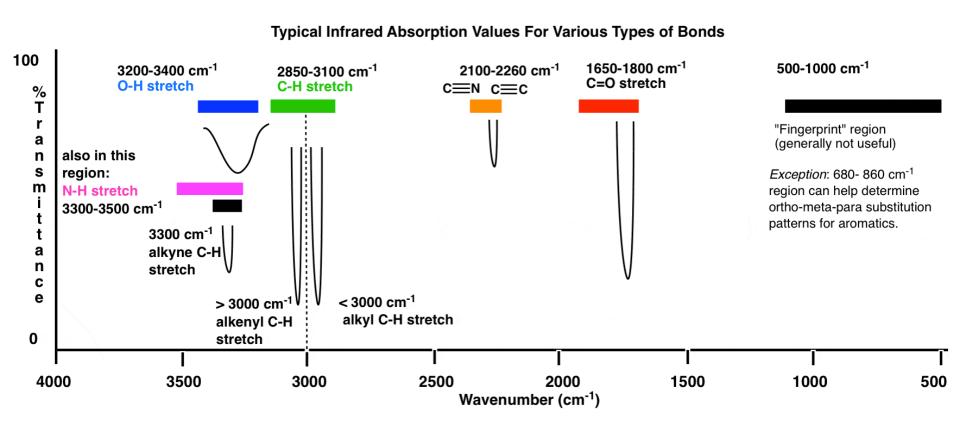
#### Absorption spectroscopy

Measured spectrum System Probe **Transmission Excited state** √WV> Absorption of the probe Excitation of the system **Ground state** Absorption

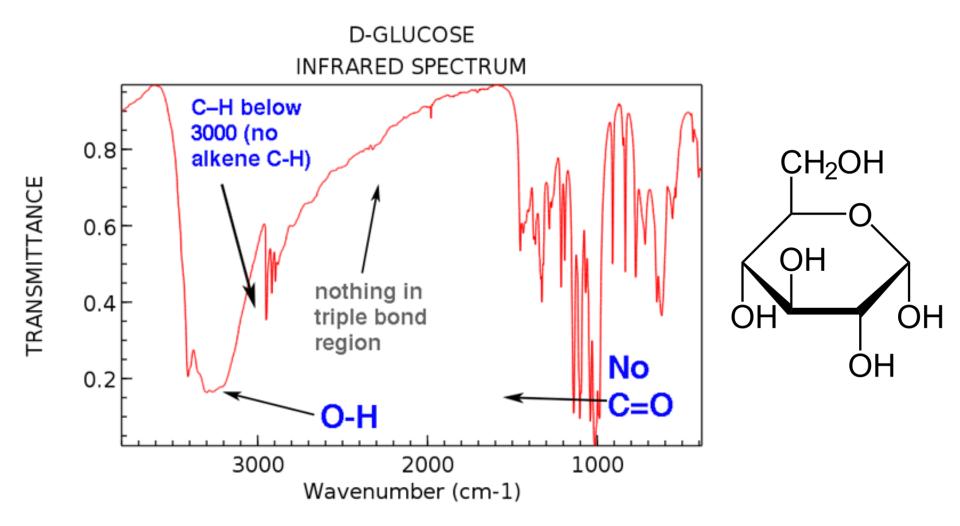
Electromagnetic wave



### Infrared spectroscopy



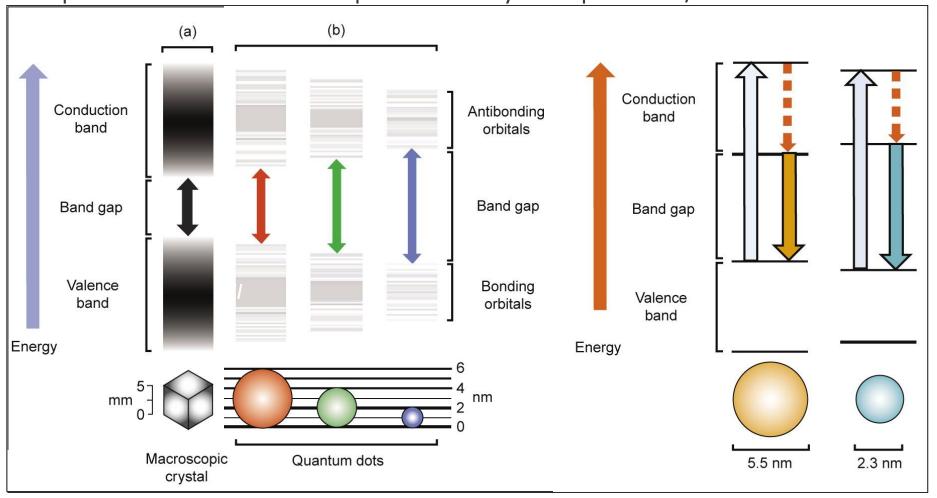
#### Infrared spectroscopy



#### Ultraviolet-visible spectroscopy

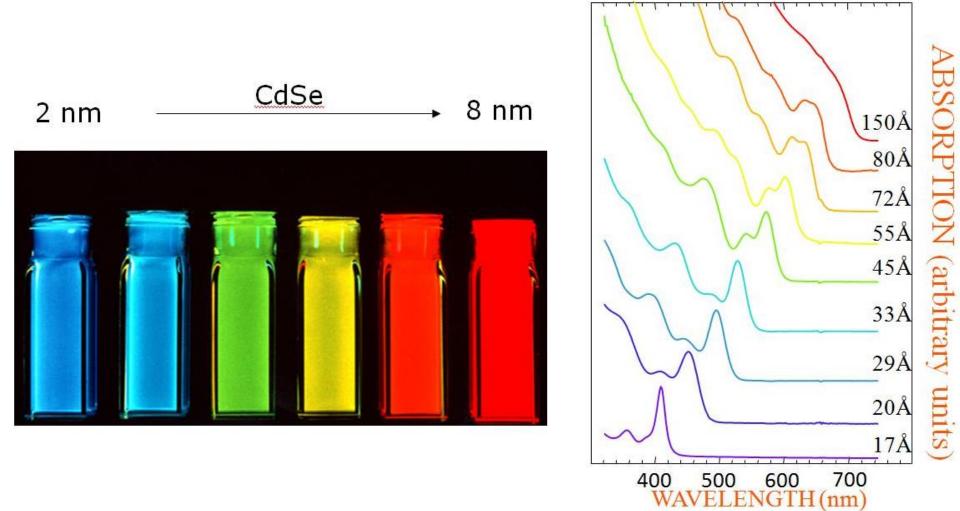
Probes electronic transitions; can be used for qualitive and quantitative analysis.

Example: determination of nanoparticle size by absorption and/or fluorescence

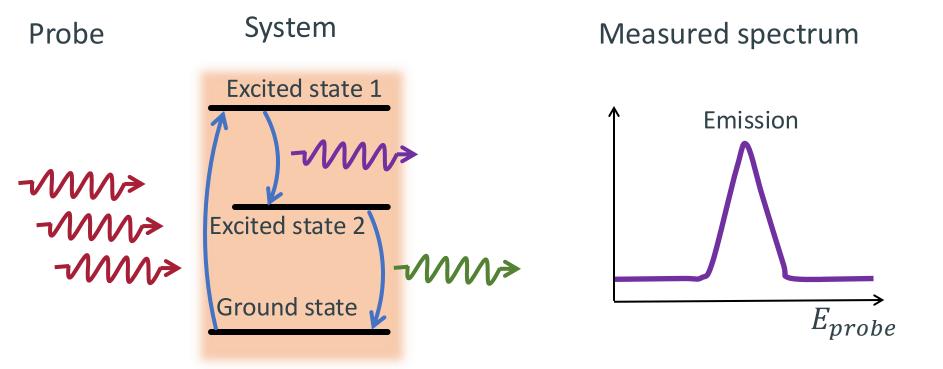


D. Ioannou and D. K. Griffin , *Nano Rev.*, **1** , 5117 (2010)

#### Ultraviolet-visible spectroscopy



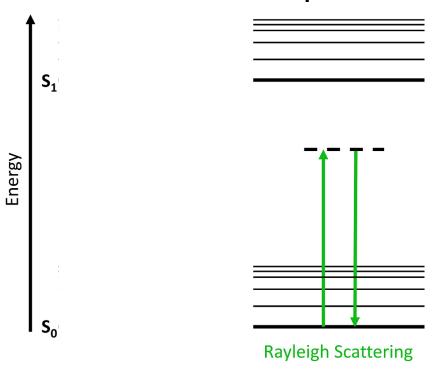
#### Emission spectroscopy

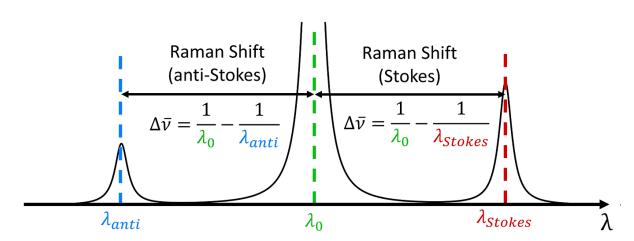


Emission is not necessary of the same nature as the probe. For example, it can be:

- incoming probe: x-ray
- emission: photoelectron

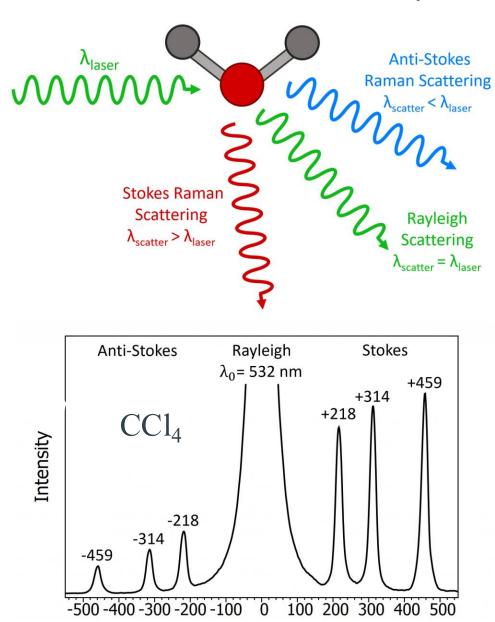
#### Raman spectroscopy



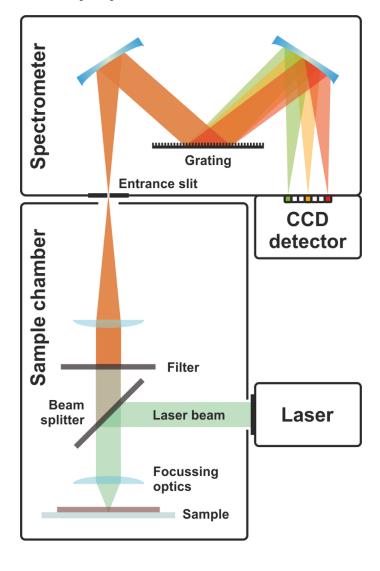


https://www.edinst.com/blog/what-is-raman-spectroscopy/

#### Raman spectroscopy



Raman Shift (cm<sup>-1</sup>)

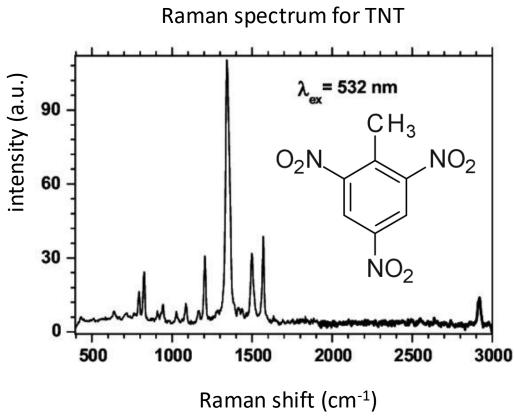


T. Schmidt, P. Dariz, Heritage **2** 1662 (2019)

#### Raman spectroscopy

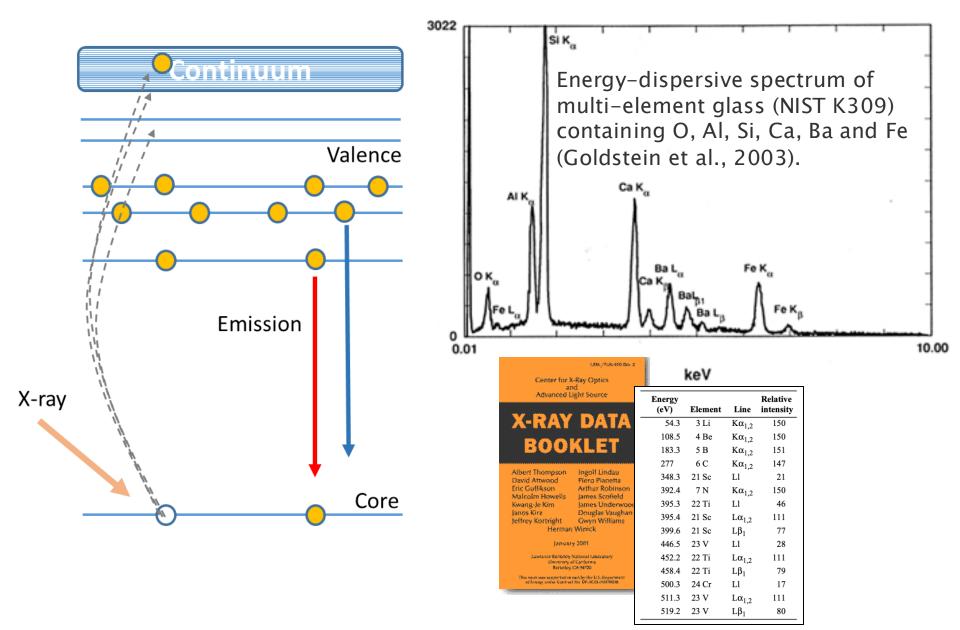
Functional group	Raman Shift (cm <sup>-1</sup> )
O-H	3600-3000
N-H	3500-3100
C-H	3100-2800
C≡C	2200-2100
C=O	1750-1700
C=C	1675-1600
C-C	1300-700
Aromatic Ring	1000-900
0-0	900-800
C-Cl	850-650



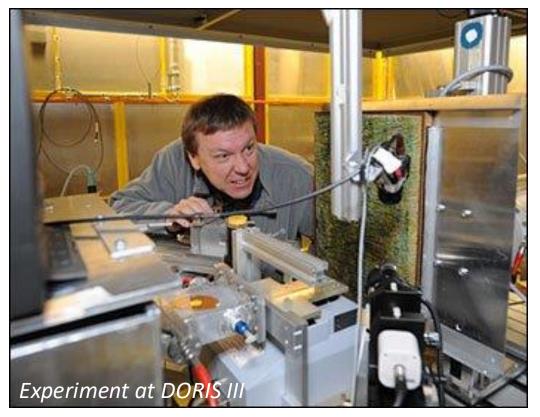


L. Nagli et al., Opt. Mater. 30 1747 (2008)

### X-ray fluorescence (XRF)



### X-ray fluorescence (XRF)



- Different paints contain different elements. For example, there is cobalt in «cobalt blue», mercury in «vermillion», antimony in «naples yellow», lead in «lead white».
- Using X-ray fluorescence one can measure the elemental map of a painting, and hence reconstruct the colors. Sometimes it reveals hidden pictures under the outer layer of the painting.



### X-ray fluorescence (XRF)

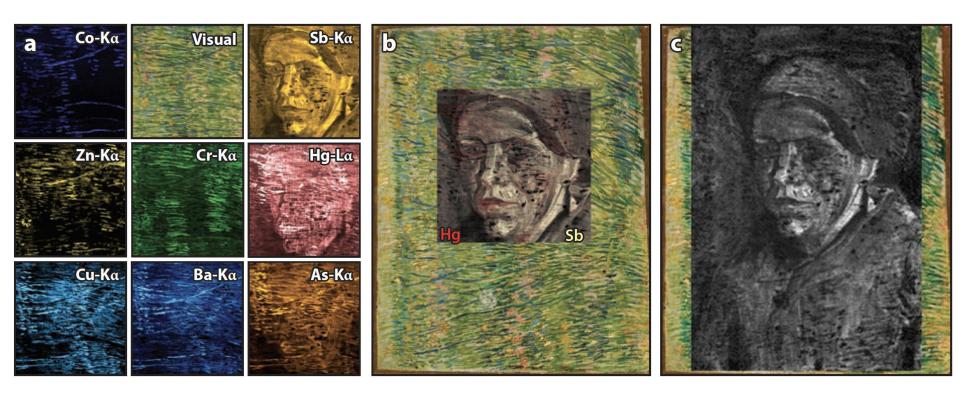


Figure 7

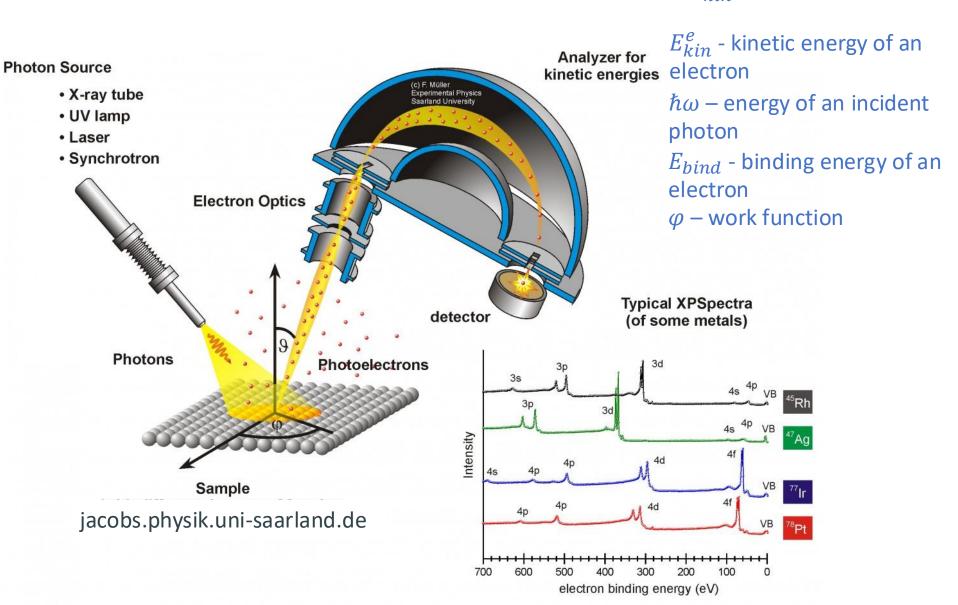
(a) Elemental maps recorded from Van Gogh's *Patch of Grass* (Kröller–Müller Museum, Otterlo, Netherlands) with macroscopic X-ray fluorescence (MA-XRF) scanning at beam line L (DORIS III synchrotron facility, Hamburg, Germany). (b) Reconstruction of the flesh tones of the hidden head of a woman, obtained by combining the Sb (off-white) and Hg (red) distributions mounted on a photograph of the painting. (c) Sb distribution obtained by mobile MA-XRF of (almost) the entire painting.

K. Janssens et al., Annual Review of Analytical Chemistry (2008) 6(1):399-425

J. Dik et al., *Anal. Chem.* 2008, 80, 16, 6436–6442

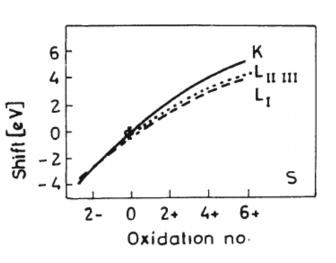
#### X-ray photoemission spectroscopy (XPS)

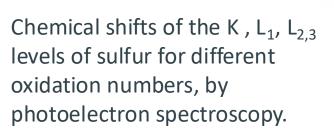
$$E_{kin}^e = \hbar\omega - E_{bind} - \varphi$$

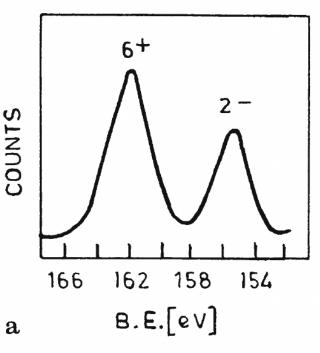


#### Chemical shift

It is possible to determine the oxidation state of the elements and even the type of chemical bonds.







Sulphur spectrum from sodium thiosulphate by a photoelectron (2p level, Mg K $\alpha$  line as source)

$$E_{kin}^{e} = \hbar\omega - E_{bind} - \varphi$$

 $E_{kin}^{e}$  - kinetic energy of an electron

 $\hbar\omega$  – energy of an incident photon

 $E_{bind}$  - binding energy of an electron

 $\varphi$  – work function

sodium thiosulphate

$$2Na^{+} \begin{bmatrix} O \\ | \\ O-S-S \\ | \\ O \end{bmatrix}^{2-}$$

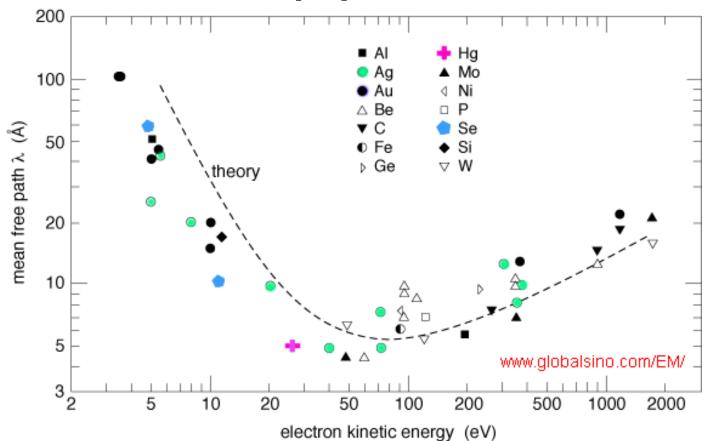
Agarwal, X-ray spectroscopy (1991)

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M. Seah and W. Dench, Surf. Interface Anal., 1 2-11 (1979)

#### Final remarks

#### **Preparation:**

growth of thin films:

Formation of nanoclusters:

Experimental techniques:

statistical growth, layer-by-layer growth

islands (Wulff construction, diffusion-limited aggregation)

PVD, CVD, lithography

#### Characterization:

Scattering techniques:

Microscopy:

Spectroscopy:

scattering from atomic layers of thin films, scattering from nanoparticles, scattering from surfaces and interfaces visible light, electron microscopy, SPM absorption & emission (VIS, UV, IR, X-ray)



**Advances topics in physics of condensed matter** 

Good luck at the exam!

Lectures: J. Meyer, D. Kölle, I. Zaluzhnyy; Exercises: R. Löffler

